

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Alan F. McCARTNEY, Matthew S. FUNK, Julien  
MERCAY, and Herve MARMY

Serial No. 09/772,038

Filed: January 29, 2001

For: SYSTEM AND METHOD FOR DYNAMICALLY  
PUBLISHING XML-COMPLIANT DOCUMENTS

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Group Art Unit: 2661

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2. Certificate of Mailing By Express Mail;
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relating to the above application, were deposited as "First Class Mail", with the United States Postal Service, addressed to The Assistant Commissioner for Patents, Washington, D.C., 20231, on 26 Sept. 2001

26 September 2001  
Date26 September 2001  
Date

Mailer

William J. Kubida, Reg. No. 29,664  
HOGAN & HARTSON LLP  
One Tabor Center  
1200 17th Street, Suite 1500  
Denver, Colorado 80202  
(719) 448-5909 Tel  
(303) 899-7333 Fax

Attorney Docket No. P4816  
Client Matter No. 80168.0095.001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Alan F. McCARTNEY, Matthew S. FUNK, Julien  
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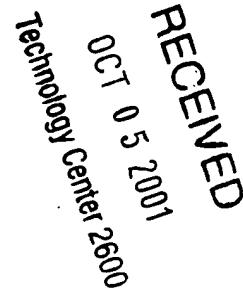
For: SYSTEM AND METHOD FOR DYNAMICALLY  
PUBLISHING XML-COMPLIANT DOCUMENTS

Commissioner for Patents  
Washington, D.C. 20231



Group Art Unit: 2661

Examiner:



**POWER OF ATTORNEY BY ASSIGNEE AND  
REVOCATION OF PREVIOUS POWERS**

Sun Microsystems, Inc., assignee of the entire right, title and interest in the above-identified application, hereby revokes all previous powers of attorney in the above-identified application and hereby grants power of attorney to the registered practitioners of Hogan & Hartson, LLP included in the Customer Number provided below to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

All correspondence should be addressed to the Customer Number provided below:

Customer Number: 25235



Assignee appoints William J. Kubida, Reg. No. 29,664; Stuart T. Langley, Reg. No. 33,940; Matthew Bailey, Reg. No. 33,829; Carol W. Burton, Reg. No. 35,465; Celine Crowson, Reg. No. 40,357; Alfred A. D'Andrea, Jr., Reg. No. 27,752; Wei-Fu Hsu, Reg. No. 45,723; David Lubitz, Reg. No. 38,229; Stuart Lubitz, Reg. No. 20,680; Sarah S. O'Rourke, Reg. No. 41,226; Steven C. Petersen, Reg. No. 36,238; John P. Scherlacher, Reg. No. 23,009; William H. Wright, Reg. No. 36,312; Wei-Ning Yang, Reg. No. 38,690; Kent A. Lembke, Reg. No. 44,866; J. Michael Thesz, Reg. No. 40,354; Naomi Voegtli, Reg. No. 44,371; Ray Aust, Reg. No. 39,590; Michael L. Crapenhoft, Reg. No. 37,115; Ajit Vaidya, Reg. No. 43,214; Sterlon Mason, Reg. No. 41,179; Kevin Shaw, Reg. No. 43,110; Lawrence McClure, Reg. No. 44,228; Ronald C. Gorsche, Reg. No. 45,505; Peter J. Meza, Reg. No. 32,920; David D. Nelson, Reg. No. 47,818; William Nixon, Reg. No. 44,262; and Stephen B. Parker, Reg. No. 36,631 of Hogan & Hartson LLP; and Kenneth Olsen, Reg. No. 26,493; Timothy J. Crean, Reg. No. 37,116;

Alexander E. Silverman, Reg. No. 37,940; Anirma R. Gupta, Reg. No. 38,275; Sean P. Lewis, Reg. No. 42,798; Michael J. Schallop, Reg. No. 44,319; Bernice B. Chen, Reg. No. 42,403; Noreen A. Krall, Reg. No. 39,734; Monica D. Ward, Reg. No. 40,696; Marc D. Foodman, Reg. No. 34,110; Naren Chaganti, Reg. No. 44,602; Elaine Lee, Reg. No. 41,936; Hugh H. Matsubayashi, Reg. No. 43,779; Paul D. Sorkin, Reg. No. 39,039; Ramin Aghevli, Reg. No. 43,462; Marilyn E. Glaubensklee, Reg. No. 35,521; Andrew C. Chen, Reg. No. 43,544; Jeffrey L. Myers, Reg. No. 44,252; Pavel Pogodin, Reg. No. P48,205; of Sun Microsystems, Inc. to prosecute this application and transact all business in the Patent and Trademark Office.

**All telephone calls should be directed to William J. Kubida at  
719-448-5909.**

**Statement Under 37 CFR § 3.73(b)**

Sun Microsystems, Inc. states that it is the assignee of the entire right, title and interest in the above-identified application by virtue of an assignment from the inventor(s) which was recorded in the Patent and Trademark Office at Reel (011857), Frame (0864), or as shown on the copy of the assignment enclosed herewith.

The undersigned (which title is supplied below) is empowered to sign this statement on behalf of the assignee.

ASSIGNEE:

Date: September 18, 2001

SUN MICROSYSTEMS, INC.

By: \_\_\_\_\_

Name: Kenneth Olsen, Reg. No. 26,493

Title: Vice President of Intellectual Property

Address: 901 San Antonio Road

MS PAL01-521

Palo Alto, CA 94303



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
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AUGUST 15, 2001

HOGAN & HARTSON LLP  
WILLIAM J. KÜBIDA, ESQ.  
1200 17TH STREET, SUITE 1500  
DENVER, COLORADO 80202



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RECORDATION DATE: 05/30/2001

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BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

MCCARTNEY, ALAN F.

DOC DATE: 05/07/2001

ASSIGNOR:

FUNK, MATTHEW S.

DOC DATE: 05/07/2001

ASSIGNOR:

MERCAY, JULIEN

DOC DATE: 05/02/2001

ASSIGNOR:

MARMY, HERVE

DOC DATE: 05/29/2001

ASSIGNEE:

SUN MICROSYSTEMS, INC., A CORP. OF  
DELAWARE  
901 SAN ANTONIO ROAD  
MS PAL01-521  
PALO ALTO, CALIFORNIA 94303

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FILING DATE: AUG 28 2001  
ISSUE DATE: HOGAN & HARTSON, LLP

SERIAL NUMBER: 09772038  
PATENT NUMBER:

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Name (line 1)  McCARTNEY, Alan F.

Execution Date  
Month Day Year

05/07/01

Name (line 2)

**Second Party**

Name (line 1)  FUNK, Matthew S.

Execution Date  
Month Day Year

05/07/01

Name (line 2)

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Name (line 1)  Sun Microsystems, Inc.

If document to be recorded  
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Name (line 2)  a Delaware corporation

Address (line 1)  901 San Antonio Road

Address (line 2)  MS PAL01-521

Address (line 3)  Palo Alto

CA/USA

94303

City

State/Country

Zip Code

**Domestic Representative Name and Address**

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## Correspondent Name and Address

Area Code and Telephone Number

(719) 448-5909

Name (line 1) William J. Kubida, Esq.

Address (line 1) Hogan &amp; Hartson LLP

Address (line 2) 1200 17<sup>th</sup> Street, Suite 1500

Address (line 3) Denver, CO 80202

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## Patent Application Number(s)

09/772,038

## Patent Number(s)

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William J. Kubida, 29,664

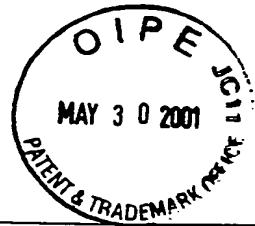
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MERCAY, Julien

Execution Date  
Month Day Year

Name (line 2)

05/02/01

Name (line 1)

MARMY, Herve

Execution Date  
Month Day Year

Name (line 2)

05/29/01

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EXPRESS MAIL NO. EL700670835US  
Attorney Docket No. P4816  
Client/Matter No. 80168.0095.001  
Serial No. 09/772,038

## A S S I G N M E N T

WHEREAS, we, Alan F. McCARTNEY, having a residence address of 1709 Ridge Road, Nederland, CO 80466, Matthew S. FUNK, having a residence address of 3135 E. Yarrow Circle, Superior, CO 80027, Julien MERCAY, having a residence address of 1409 Oakley Drive, Los Altos, CA 94024, and Herve MARMY, having a residence address of \_\_\_\_\_, have made a certain new and useful invention relating to a SYSTEM AND METHOD FOR DYNAMICALLY PUBLISHING XML-COMPLIANT DOCUMENTS, for which we have made application for Letters Patent of the United States, said application being identified by Docket No. P4816 and Client/Matter No. 80168.0095.001 in the law firm of Hogan & Hartson, L.L.P. One Tabor Center, 1200 Seventeenth Street, Suite 1500, Denver, Colorado, 80202, and which was filed January 29, 2001, and assigned Serial No. 09/772,038, and

WHEREAS, we now own the entire right, title and interest therein; and WHEREAS, Sun Microsystems, Inc., hereinafter ASSIGNEE, a Delaware corporation, whose post office address is 901 San Antonio Road, MS PAL01-521, Palo Alto, CA 94303, is desirous of acquiring the entire interest in and to said invention, said application, and the Letters Patent to be obtained therefor;

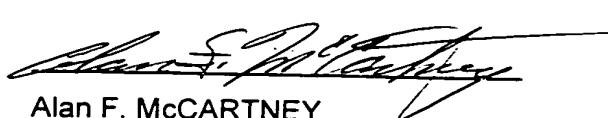
NOW THEREFORE, upon consideration of our employment and other good and valuable consideration, the adequacy of which is hereby acknowledged, we do hereby sell and assign unto ASSIGNEE and ASSIGNEE's legal representatives, successors, and assigns, the entire right, title, and interest in and to said invention, said application, and the Letters Patent, both of the United States and of other countries, and inventor's certificates, that may or shall issue thereon; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to ASSIGNEE, consistent with the terms of this Assignment.

UPON SAID CONSIDERATION, we do hereby covenant with ASSIGNEE that we will not execute any writing or do any act whatsoever conflicting with this assignment, and that we will, at any time upon request, without further or additional consideration, but at the expense of ASSIGNEE, execute such additional assignments and other writings and do such additional acts as ASSIGNEE may deem necessary or desirable to perfect ASSIGNEE's enjoyment of this grant, and render all necessary assistance in applying for and obtaining original, continuation, continuation-in-part, divisional, reexamined, renewal,

reissued or extended Letters Patent of the United States, or of other countries, or inventor's certificates, on said invention, and in enforcing any rights or causes in action accruing as a result of such applications, certificates, or patents, by giving testimony in any proceedings or transactions involving such applications, certificates, or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of, the assigns and legal representatives of both parties.

IN WITNESS WHEREOF, we have hereunto set our hands and affixed our seals on the date hereinafter set forth.

Date: May 7, 2001



Alan F. McCARTNEY

Date: 7 May 2001



Matthew S. FUNK

Date: \_\_\_\_\_



Julien MERCAY

Date: \_\_\_\_\_



Herve MARMY

EXPRESS MAIL NO. EL700670835US  
Attorney Docket No. P4816  
Client/Matter No. 80168.0095.001  
Serial No. 09/772,038

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WHEREAS, we now own the entire right, title and interest therein; and

WHEREAS, Sun Microsystems, Inc., hereinafter ASSIGNEE, a Delaware corporation, whose post office address is 901 San Antonio Road, MS PAL01-521, Palo Alto, CA 94303, is desirous of acquiring the entire interest in and to said invention, said application, and the Letters Patent to be obtained therefor;

NOW THEREFORE, upon consideration of our employment and other good and valuable consideration, the adequacy of which is hereby acknowledged, we do hereby sell and assign unto ASSIGNEE and ASSIGNEE's legal representatives, successors, and assigns, the entire right, title, and interest in and to said invention, said application, and the Letters Patent, both of the United States and of other countries, and inventor's certificates, that may or shall issue thereon; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to ASSIGNEE, consistent with the terms of this Assignment.

UPON SAID CONSIDERATION, we do hereby covenant with ASSIGNEE that we will not execute any writing or do any act whatsoever conflicting with this assignment, and that we will, at any time upon request, without further or additional consideration, but at the expense of ASSIGNEE, execute such additional assignments and other writings and do such additional acts as ASSIGNEE may deem necessary or desirable to perfect ASSIGNEE's enjoyment of this grant, and render all necessary assistance in applying for and obtaining original, continuation, continuation-in-part, divisional, reexamined, renewal,

reissued or extended Letters Patent of the United States, or of other countries, or inventor's certificates, on said invention, and in enforcing any rights or causes in action accruing as a result of such applications, certificates, or patents, by giving testimony in any proceedings or transactions involving such applications, certificates, or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of, the assigns and legal representatives of both parties.

IN WITNESS WHEREOF, we have hereunto set our hands and affixed our seals on the date hereinafter set forth.

Date: \_\_\_\_\_

Alan F. McCARTNEY

Date: \_\_\_\_\_

Matthew S. FUNK

Date: 05/02/2021

  
Julien MERCAY

Date: \_\_\_\_\_

Herve MARMY